

# Our professional qualifications

The London Institute of Banking & Finance Professional Qualifications Framework provides clear pathways for career development and professional recognition through to Chartered status.

Whether students are new to the industry, keen to upskill their technical and specialist knowledge or an experienced practitioner, the framework supports individuals and organisations to develop the knowledge, skills and competence required of today's banking and finance professionals.

A range of mandatory, role-based and specialist qualifications for banking & finance professionals.

## Expert

The Expert stage provides the pathway to Chartered status and is designed for those in, or aspiring to, senior roles.

To be awarded Chartered Associate status, candidates are required to achieve 135 credits (at least 75 at Level 6) and have three years of relevant experience. Candidates completing the level 6 Diploma in Financial Advice (Adv DipFA) are also eligible. Chartered status is maintained through membership of The London Institute of Banking & Finance and a commitment to a programme of annual CPD.

## Professional

The Professional stage offers a range of industry recognised qualifications designed to support those in management and graduate entrant roles. Candidates who achieve a minimum of 60 credits at Level 4 or 5, including the study of the compulsory Professionalism, Conduct and Ethics (5PCE) qualification, are eligible to achieve the Professional Diploma in Banking & Finance designation and apply for Associate status of The London Institute of Banking & Finance.

## Practitioner

The Practitioner stage provides a range of Certificate qualifications in specialist areas such as complaints handling, retail and commercial banking conduct and debt collection. Qualifications at this stage provide a good foundation for further progression and those holding a Certificate level qualification are eligible to apply for Membership of The London Institute of Banking & Finance.

## Regulated Advice and Specialist Roles

Our qualifications also support staff in regulated advice and specialist roles including trade finance and asset finance.



### RETAIL & CONSUMER BANKING

### BUSINESS, COMMERCIAL & CORPORATE BANKING

### REGULATED ADVICE

### SPECIALIST ROLES



#### Expert

Study set at Level 6 of the RQF



#### Professional

Study set at Levels 4 & 5 of the RQF



#### Practitioner

Study set at Level 3 of the RQF

**CHARTERED ASSOCIATESHIP**  
135 credits with 75 at Level 6 including Professionalism, Conduct and Ethics (6PCE) + three year's relevant industry experience

**Professionalism, Conduct and Ethics (6PCE)**

Strategic Management in Financial Services	Strategic Management in Financial Services
Digital Retail Banking*	Corporate Lending
Risk Management in Banking	Advanced Work-Based Learning
	Risk Management in Banking

**CHARTERED ASSOCIATESHIP**  
Adv DipFA + three year's relevant industry experience

Level 6 Diploma in Financial Advice (Adv DipFA)

Level 6 Award in Pension Transfers (AwPETR)

**PROFESSIONAL DIPLOMA IN BANKING & FINANCE**  
60 credits at Level 4 or 5, including Professionalism, Conduct and Ethics (5PCE)

**Professionalism, Conduct and Ethics (5PCE)**

Retail Lending	Corporate Relationship Management
Retail Financial Services	Commercial & Corporate Financial Services
Diploma in Retail Banking	Finance of International Trade
Conduct of Business (DipRBCB)	Diploma in Business and Commercial Banking and Conduct (DipBB&C)

Diploma for Financial Advisers (DipFA)

CeMAP Diploma

*The above qualifications can also contribute to the Professional Diploma in Banking & Finance*

Diploma in Asset Finance (DipAF)

Certificate for Specialists in Demand Guarantees (CSDG)

Certificate for Documentary Credit Specialists (CDCS)

Financial Services Regulation & Ethics (FSRE)

*The above qualifications can also contribute to the Professional Diploma in Banking & Finance*

### SPECIALIST & REGULATORY QUALIFICATIONS

Certificate in Retail Banking Conduct of Business (CertRBCB)

Certificate in Business Banking and Conduct (CertBB&C)

Certificate in Mortgage Advice & Practice (CeMAP)

Certificate in Regulated Equity Release (CeRER)

Certificate in Financial Planning and Administration (CeFAP)

Certificate in Long-Term Care Insurance (CeLTCI)

UK Financial Regulation (UKFR)

Certificate in Supervising in a Regulated Environment (CeSRE)

Award in Supervising in a Regulated Environment (AwSRE)

Certificate for Automotive Finance Specialists (CertAutoFS)

Certificate in International Trade & Finance (CITF)

Certificate in Credit Union Professional Conduct (CeCUPC)

Certificate in Regulated Complaints Handling (CeRCH)

Award in Customer Complaints Handling (AwCCH)

Certificate in Consumer Debt Collection (CertDC)

Certificate in Principles of Payment (CertPAY)

\* Under development